All capitalized words or terms which are not defined within this Progress Report Form (the “**Report**”) have the respective meaning as set out in the [Program Guide for the Alberta – Zhejiang Global Partnership Program](http://www.albertatechfutures.ca/Portals/0/Business%20and%20Industry%20Support/Program%20Guide%20Voucher%20PDP.pdf)  (the “**Guide**”). In this Report, the term “**you**”and “**Alberta** **Applicant**” are used interchangeably. As a reminder, “**Project**” means the activity to be performed over the duration of the Investment.

# Part 1 Progress Report Instructions:

# Pursuant to Section 6.4 of the Guide, please complete the following Report on behalf of the Alberta Applicant. As a reminder, “Alberta Applicant” means the company or entity that completed and submitted the Application. The completed Report must be signed by an authorized representative of the Alberta Applicant, and submitted, no later than fourteen (14) days after the completion of the particular Milestone, in accordance with the Milestone, Reporting & Payment Schedule for the Alberta – Zhejiang Global Partnership Program.

It is essential that you have read and that you understand the Guide before completing this Report. The Guide contains the terms and conditions which are binding on the Applicants. For more information regarding the use of the Report, please refer to the Guide.

The completed Report must be signed by an authorized representative of the Alberta Applicant andmust be submitted by email to [inbox\_grants@albertainnovates.ca](mailto:inbox_grants@albertainnovates.ca) as a PDF, for Alberta Innovates’ consideration. If you require assistance in completing the Report, please email [inbox\_grants@albertainnovates.ca](mailto:inbox_grants@albertainnovates.ca). An incomplete Report, or a Report that is not in the current standard form provided on Alberta Innovates’ webpage, will not be accepted by Alberta Innovates. The Report may be executed in counterparts and all counterparts together shall constitute one and the same Report. Delivery by a party of an executed copy of this Report by electronic means constitutes effective delivery and the parties accept any signatures received electronically as original signatures of the parties.

The review process of the Report may include due diligence searches of various public registries including bankruptcy and insolvency records, corporate and litigation searches, in accordance with Section 5.1 of the Guide. Alberta Innovates may approve, request specific changes, or decline approval of this Report as determined in its sole discretion, and Alberta Innovates’ decision is binding on the Alberta Applicant. Upon its review, Alberta Innovates will indicate its decision to approve or decline the Report at the bottom of this document and will provide a scanned copy of this completed Report by email to the Alberta Applicant, and any Alberta Innovates Representative identified in the Application (i.e. TDA).

If the Report is approved, Alberta Innovates will pay the Investment, or will continue to pay the Investment, as the case may be, within forty-five (45) days after date indicated in Section VII.

**Part 2 Freedom of Information and Protection of Privacy Act (Alberta) (“FOIP”):**

Alberta Innovates is governed by FOIP. This means Alberta Innovates can be compelled to disclose the information received under this Application, or other information delivered to Alberta Innovates in relation to the Project, when an access request is made by anyone in the general public.

In the event an access request is received by Alberta Innovates, exceptions to disclosure within FOIP may apply. If an exception to disclosure applies, certain information may be withheld from disclosure. Applicants are encouraged to familiarize themselves with FOIP. Information regarding FOIP can be found at [http://www.servicealberta.ca/foip/.](http://www.servicealberta.ca/foip/)

**Part 3 Publication of Non - Confidential Information by Alberta Innovates**

Pursuant to Section 7.12 of the Guide, Alberta Innovates may publish and/or disseminate in the public domain certain information contained within this Report as a way to showcase the outcomes generated by the Investments made by Alberta Innovates in the Province of Alberta. On this basis, Alberta Innovates deems the following information in this Report to be non-confidential and subject to publication and/or dissemination by Alberta Innovates in its sole discretion at any time:

Section I: Contact Information – Alberta Applicant’s Legal Name, Trade Name, Representative’s Name

Section I: Contact Information - Zhejiang Applicant’s Legal Name, Trade Name, Representative’s Name

Section II: Project Summary to Date - Non-Confidential Summary

**Date:** Click here to enter a date.

**Record Number:** Add Text Here

**Milestone Start Date:** Click here to enter a date.

**Milestone Completion Date:** Click here to enter a date.

**Project Title**: Add Text Here

**SECTION I: CONTACT INFORMATION**

Alberta Applicant Information

(Alberta Applicant means the company or entity that submitted the Application)

Legal Name:

Trade Name (if applicable)

Alberta Applicant Representative’s Name:       Title:

Alberta Applicant Representative’s email:       phone:

Civic Address line 1:

Civic Address line 2 (if applicable):

City:       Province:       Postal Code:

**Zhejiang Applicant Information**

(Zhejiang Applicant means the company or entity operating in Zhejiang, China who is collaborating with the Alberta Applicant on a Project)

Legal Name:

Trade Name (if applicable)

Zhejiang Applicant Representative’s Name:

Zhejiang ApplicantRepresentative’s email:       phone:

Civic Address line 1:

Civic Address line 2 (if applicable):

City:       Province:       Country:       Postal Code/Zip code:

If any of the information in SECTION I is different from Section 8.3 of the Investment Agreement for the Alberta – Zhejiang Global Partnership Program (the “Agreement”), please identify the changes:

Add text here

If any of these changes are as a result of a name change or Change of Control\*, complete the following:

**New Name:** Add text here

**Date of Sale/Transfer of Assets if Applicable:** Add text here

\*See the applicable Guide for a definition of Change of Control

**SECTION II: PROJECT SUMMARY TO DATE**

1. **Non-Confidential Summary**

Provide a non-confidential summary of the progress of the Project to date.

Add text here

1. **Confidential**

Did the Project proceed as expected during this period? Please Select

Provide a more detailed description of the progress to date. Provide details regarding the following:

* current outcomes against the Milestones described in Schedule B of the Agreement;
* new or unforeseen outcomes or lessons learned;
* impact and management of any setbacks or challenges encountered;
* new customers or strategic partnerships;
* advancement of the Intellectual Property protection of this technology;
* advancement of the commercialization of this technology; and
* any other details you think will help Alberta Innovates assess your progress and support the continuation of the Investment.

Add text here

1. **Any Additional Information You Wish to Provide For Clarification or Information to Alberta Innovates**

Add text here

1. **Comments to Alberta Innovates**

To assist Alberta Innovates in our efforts to constantly improve our programs and services, please provide any comments, concerns, or suggestions you may have as a result of your experience to date. If you have had contact with a TDA, a Program Associate, or someone else from Alberta Innovates, please let us know who that was and provide any feedback you would like.

Add text here

SECTION III: REQUIRED ATTACHMENTS

Under the Alberta – Zhejiang Global Partnership Program, detailed statements of internal costs and/or invoices for Eligible Expenses as stated in Schedule B of the Agreement MUST be attached to this Report.

SECTION IV: NEXT STEPS

If activities during this Milestone period have affected changes to any of the ensuing Milestones, a new Milestone, Reporting and Payment Schedule MUST be attached to this Report and an explanation provided in the text box below.

Add text here

SECTION V: FINANCIAL INFORMATION

All statements of internal costs and/or invoices below must be for Eligible Expenses and the total of all statements of internal costs and/or invoices must agree with the total indicated on the Milestone, Reporting and Payment Schedule for this Milestone period.

* If there are Ineligible Expenses indicated, mark the statement of internal costs and/or invoice and reduce the Statement of Internal Costs and/or Invoice Total below;
* Manually number all statements of internal costs and/or invoices to correspond with the numbering below;
* Recipient of Alberta Innovates Investment must be indicated unless previously approved; and
* Statement of internal costs and/or Invoice start and end dates must fit within the applicable Expected Milestone start date and end date being reported.

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Reconciliation of Statements of Internal Costs and/or Invoices Provided for this Milestone** | | | | | | | | |
|  | **Name on Statement of Internal Costs and/or Invoice** | **Statement of Internal Costs and/or Invoice Number** | **Statement of Internal Costs and/or Invoice Start Date** | **Statement of Internal Costs and/or Invoice End Date** | **Statement of Internal Costs and/or Invoice Total** | **Portion of Statement of Internal Costs and/or Invoice to be paid by Alberta Innovates** | **Recipient of Alberta Innovates portion (Payee)** | **Balance of Statement of Internal Costs and/or Invoice to be paid by another party\*** |
| 1 | Add text here |  | Click here to enter a date. | Click here to enter a date. | $ | $ | Add text here | $ |
| 2 | Add text here |  | Click here to enter a date. | Click here to enter a date. | $ | $ | Add text here | $ |
| 3 | Add text here |  | Click here to enter a date. | Click here to enter a date. | $ | $ | Add text here | $ |
| 4 | Add text here |  | Click here to enter a date. | Click here to enter a date. | $ | $ | Add text here | $ |
| 5 | Add text here |  | Click here to enter a date. | Click here to enter a date. | $ | $ | Add text here | $ |
| 6 | Add text here |  | Click here to enter a date. | Click here to enter a date. | $ | $ | Add text here | $ |
| 7 | Add text here |  | Click here to enter a date. | Click here to enter a date. | $ | $ | Add text here | $ |
| 8 | Add text here |  | Click here to enter a date. | Click here to enter a date. | $ | $ | Add text here | $ |
| 9 | Add text here |  | Click here to enter a date. | Click here to enter a date. | $ | $ | Add text here | $ |
| 10 | Add text here |  | Click here to enter a date. | Click here to enter a date. | $ | $ | Add text here | $ |
|  | **Total** |  |  |  | **$** | **$** |  | **$** |

\*This is the amount of Eligible Expense that is part of the Contribution, and must account for the remainder of the Statement of Internal Costs and/or Invoice.

Explain any discrepancy between the total indicated above and the total indicated on the Milestone, Reporting & Payment Schedule attached as Schedule B on the Agreement.

Add text here

**SECTION VI – ACKNOWLEDGEMENTS**

|  |  |  |
| --- | --- | --- |
| **Name of the Alberta Applicant:** Add Text Here | | |
| By signing below, I, as the representative of the Alberta Applicant as named in Section 8.3 of the Alberta – Zhejiang Global Partnership Investment Agreement, legally represent for and on behalf of the Alberta Applicant that all information contained in this Progress Report is true and accurate and acknowledge and consent to the dissemination/publication of non-confidential information by Alberta Innovates as outlined in Part 3 of this Report. I further confirm that all required attachments are provided with this report. | | |
| **Name of Representative for and on behalf of the Alberta Applicant:**  Add Text Here | | |
| **Signature:** | **Date:** | Click here to enter a date. |

SECTION VII. FOR USE BY Alberta Innovates ONLY

**Either Part 1 or Part 2 of this SECTION VII will be completed by Alberta Innovates. The completed section below indicates Alberta Innovates’ final determination regarding the Progress Report.**

🞏 One signature is required in accordance with Appendix One of the Alberta – Zhejiang Global Partnership Program Investments Process Document

🞏 Two authorized signatures are required in accordance with Appendix One of the Alberta – Zhejiang Global Partnership Program Process Document and CG-STD1\_AI - Financial Delegation of Authority Standard.

Part 1 🞏 PROGRESS REPORT IS ACCEPTED

Alberta Innovates approves the Progress Report and authorizes the continuation of the Project, as indicated by the signature(s) of the authorized representative(s) of Alberta Innovates below.

Date \_\_\_\_\_\_\_\_\_\_\_\_\_\_.

|  |  |  |
| --- | --- | --- |
| **ALBERTA INNOVATES** | | |
| Name of Authorized Signatory for a single signature |  | Name of Authorized Signatory for two signatures |
| Signature |  | Signature |
|  |  | Name of Authorized Signatory for two signatures |
|  |  | Signature |

Part 2 🞏 PROGRESS REPORT IS DECLINED

Alberta Innovates hereby declines approval of the Report for the reason(s) set out in Appendix “A” attached hereto as indicated by the signature(s) of the authorized representative(s) of Alberta Innovates below.

Date \_\_\_\_\_\_\_\_\_\_\_\_\_\_.

|  |  |  |
| --- | --- | --- |
| **ALBERTA INNOVATES** | | |
| Name of Authorized Signatory for a single signature |  | Name of Authorized Signatory for two signatures |
| Signature |  | Signature |
|  |  | Name of Authorized Signatory for two signatures |
|  |  | Signature |

cc: all parties named in the Agreement, and any Alberta Innovates Representative identified in the Application (i.e. Technology Development Advisor)